

04CO
12/27/00

Docket No. CRD-726
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joaquin Villalobos et al.

Serial No.: 09/714,081

Art Unit:

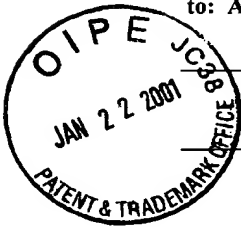
Filed: November 16, 2000

Examiner:

For: AN IMPROVED DELIVERY APPARATUS FOR A SELF
EXPANDING RETRACTABLE STENT

#2
A. RIVERS
3/23/01

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail in an envelope addressed
to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on



January 16, 2001
(Date of Deposit)

Patricia A. Jara
Name of person signing the Certificate

Patricia A. Jara
(Signature)

January 16, 2001
(Date of Signature)

INFORMATION DISCLOSURE STATEMENT

- ☒ Form PTO-1449 Pursuant to 37 CFR 1.97(b) - Within 3 months of filing or prior to 1st Office Action
- ☐ Certification Pursuant to 37 CFR 1.97(c) - Before Final Office Action or Allowance
- ☐ Fee Payment Pursuant to 37 CFR 1.97(c) - Before Final Office Action or Allowance
[\$240.00 Petition Fee as provided for in 37 CFR 1.17(p)]
- ☐ Petition, Certification & Fee Pursuant to 37 CFR 1.97(d) - Before issue fee payment
[\$130.00 Petition Fee as provided for in 37 CFR 1.17(i)]

Honorable Assistant Commissioner
of Patents and Trademarks
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ A list of documents on Form PTO-1449 together with copies of each identified non U.S. document and a translation or a concise explanation of each non-English language document is enclosed herewith.
- ☐ A list of documents on Form PTO-1449 is enclosed herewith. The references listed on the attached Form PTO-1449 form were submitted to and/or cited by the Office in the prior application, and, therefore, are not, according to Applicant's understanding, required to be provided in this application.

This paper is submitted in accordance with:

☒ 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action].

☐ 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier];
and

☐ The required certification is made hereinbelow; or

☐ The \$240.00 fee specified in 37 CFR 1.17(p) for submission of this information
Disclosure Statement is authorized hereinbelow.

☐ 37 CFR 1.97(d): [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure
Statement.

The petition fee (\$130.00) required by 37 CFR 1.17(i) is authorized
hereinbelow; and

b) The required Certification is set forth hereinbelow.

☐ Certification:


☐ Each item of information contained in this Statement was cited in a communication
from a foreign patent office in a counterpart foreign application not more than three
months prior to the filing of this Statement; or

☐ No item of information contained in this Statement was cited in a communication
from a foreign patent office in a counterpart foreign application or, to the
knowledge of the person signing this document after making reasonable inquiry, was
known to any individual designated in 37 CFR 1.56(C) more than three (3) months
prior to the filing of this Statement.

☒ Please charge all applicable fees associated with the submittal of this Information
Disclosure Statement and any other fees applicable to this application to Deposit
Account No. 10-0750/CRD-726/DLG. An original and two (2) copies of this
document are enclosed.

Respectfully submitted,

January 16, 2001
Date


Attorney: Dean L. Garner
Reg. No. 35,877
Phone: (513) 337-8559